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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Pacerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADE **Application Number** 10/776,731 TRANSMITTAL Filing Date February 10, 2004 **FORM** First Named Inventor Baynham, et al. Art Unit 3732 **Examiner Name** (to be used for all correspondence after initial filing)

Tota	al Number of Pages	in This Submission	9	Attorney Docket	Number	2380.0	005	
ENCLOSURES (Check all that apply)								
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Date		11/18/05	-		R	eg. No.	34,016	- 11
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the dat	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
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Typed	or printed name	Melissa A.	Johnst	on			Da	te 11/18/05

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## OPE 40 CONTROLLED

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICANT

: Bret O. Baynham, et al.

**INVENTION:** 

: STATIC ANTERIOR CERVICAL PLATE

SERIAL NUMBER

: 10/776,731

FILING DATE

: February 10, 2004

**EXAMINER:** 

: (not assigned yet)

**GROUP ART UNIT** 

: 3732

ATTORNEY DOCKET NO.

: 2380.005

CERTIFICATE UNDER 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450,

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 CFR §1.56, the references cited on the accompanying form PTO/SB/08A are hereby brought to the attention of the Examiner for independent evaluation. Pursuant to the Official Gazette Notice dated August 5, 2003, Applicants are not required to submit copies of these references. The references were cited in the specification and their relevancy is set forth therein.

Applicants submit that the present invention is patentable over these references.

Date: \\(\8\05

especifylly Submitted,

Michael A. Slavin Reg. No. 34,016

McHale & Slavin, P.A. 2855 PGA Boulevard

Palm Beach Gardens, FL 33410

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PTO/SB/08A (08-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	10/776,731			
Filing Date	February 10, 2004			
First Named Inventor	Baynham, et al.			
Art Unit	3732			
Examiner Name				
Attorney Docket Number	2380.005			

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US- RE 28,841	06-08-1976	Allgower, et ai.		
		<sup>US-</sup> RE 31,628	07-10-1984	Allgower, et al.		
		US- 74,489	02-18-1868	Bidwel		
		<sup>US-</sup> 434,503	08-19-1890	Corry		
		<sup>US-</sup> 556,642	03-17-1896	Ressing		
		<sup>US-</sup> 824,867	07-03-1906	Houghton		
		US- 872,897	12-03-1907	Chapman, et al.		
		<sup>US-</sup> 951,800	03-15-1910	Center		
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		<sup>US-</sup> 3,709,219	01-19-1973	Halloran		

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Application of Greek Boodinesis	Or Relevant Figures Appear	T	
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Complete if Known Substitute for form 1449/PTO Application Number 10/776,731 Filing Date February 10, 2004 **INFORMATION DISCLOSURE** First Named Inventor Baynham, et al. STATEMENT BY APPLICANT Art Unit 3732 (Use as many sheets as necessary) **Examiner Name** Sheet Attorney Docket Number 2380.005

Examiner	Cite	Document Number	Publication Date	DOCUMENTS	
Initials*	No.1	Document Number	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (if known)</sup>		Applicant of Orea Bocument	Figures Appear
		<sup>US-</sup> 3,741,205	06-26-1973	Markoff, et al.	
		<sup>US-</sup> 3,750,652	08-07-1973	Sherwin	
		us- 3,840,014	10-08-1974	Ling, et al.	
		<sup>US-</sup> 3,960,147	06-01-1976	Murray	
		<sup>US-</sup> 4,003,376	01-18-1977	McKay, et al.	-
		<sup>US-</sup> 4,037,980	07-26-1977	Haentjens	
		<sup>US-</sup> 4,069,586	01-24-1978	Skelton	-
		us- 4,102,339	07-25-1978	Weber, et al.	
		<sup>US-</sup> 4,113,227	09-12-1978	Cigliano	
		<sup>US-</sup> 4,338,926	07-13-1982	Kummer, et al.	
		<sup>US-</sup> 4,388,921	06-21-1983	Sutter, et al.	
		<sup>US-</sup> 4,484,570	11-27-1984	Sutter, et al.	
		<sup>US-</sup> 4,488,543	12-18-1984	Tornier	
		<sup>US-</sup> 4,493,317	01-15-1985	Klaue	
		us- 4,503,848	03-12-1985	Caspar, et al.	
		<sup>US-</sup> 4,542,539	09-24-1985	Rowe, Jr., et al.	
		<sup>US-</sup> 4,651,724	03-24-1987	Berentey, et al.	
		<sup>US-</sup> 4,733,657	03-29-1988	Kluger	
		<sup>US-</sup> 4,762,122	08-09-1988	Slocum	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	A PPINON OF CHOOL DOCUMENT	Or Relevant Figures Appear	T <sup>6</sup>
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Substitute for form 1449/PTO	Complete if Known				
	Application Number	10/776,731			
INFORMATION DISCLOSURE	Filing Date	February 10, 2004			
	First Named Inventor	Baynham, et al.			
STATEMENT BY APPLICANT	Art Unit	3732			
(Use as many sheets as necessary)	Examiner Name				

Attorney Docket Number 2380.005

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		<sup>US-</sup> 4,794,918	01-03-1989	Wolter	- Sacrapped		
		<sup>US-</sup> 4,896,661	01-30-1990	Bogert, et al.			
		<sup>US-</sup> 4,898,161	02-06-1990	Grundei			
		<sup>US-</sup> 4,943,292	07-24-1990	Foux			
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		<sup>US-</sup> 4,959,065	09-25-1990	Arnett, et al.			
		<sup>US-</sup> 5,000,166	03-19-1991	Karpf			
		us- 5,002,544	03-26-1991	Klaue, et al.			
		<sup>US-</sup> 5,019,079	05-28-1991	Ross			
		<sup>US-</sup> 5,041,113	08-20-1991	Biedermann, et al.			
		<sup>US-</sup> 5,052,373	10-01-1991	Michelson			
	<u></u>	<sup>US-</sup> 5,053,036	10-01-1991	Perren, et al.			
		<sup>US-</sup> 5,057,111	10-15-1991	Park			
		<sup>US-</sup> 5,059,194	10-22-1991	Michelson			
		us- 5,085,660	02-04-1992	Lin			
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Substitute for form 1449/PTO Filing Date INFORMATION DISCLOSURE

Application Number 10/776,731 February 10, 2004 First Named Inventor Baynham, et al. Art Unit 3732 **Examiner Name** 

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STATEMENT BY APPLICANT (Use as many sheets as necessary)

Attorney Docket Number Sheet 2380.005

Examiner ·	Cite	December 1 November 1		DOCUMENTS	· • • • • • • • • • • • • • • • • • • •
Initials*	No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,147,361	09-15-1992	Ojima, et al.	
		<sup>US-</sup> 5.151,103	09-29-1992	Tepic, et al.	
		<sup>US-</sup> 5,167,662	12-01-1992	Hayes, et al.	
		<sup>US-</sup> 5,180,381	01-19-1993	Aust, et al.	
		<sup>US-</sup> 5,190,544	03-02-1993	Chapman, et al.	
		<sup>US-</sup> 5,209,751	05-11-1993	Farris, et al.	
		US- 5,217,497	06-08-1993	Mehdian	
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		<sup>US-</sup> 5,300,073	04-05-1994	Ray, et al.	
		<sup>US-</sup> 5,338,197	08-16-1994	Kwan	<del></del>
		<sup>US-</sup> 5,364,399	11-15-1994	Lowery, et al.	
_		<sup>US-</sup> 5,380,328	01-10-1995	Morgan	
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		US- 5,423,826	06-13-1995	Coates, et al.	
		us- 5,456,685	10-10-1995	Huebner	
		us- 5,478,340	12-26-1995	Kluger	
		<sup>US-</sup> 5,478,348	12-26-1995	Bajada	
		<sup>US-</sup> 5,492,442	02-20-1996	Lasner	
_		<sup>US-</sup> 5,520,690	05-28-1996	Errico, et al.	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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				Art Unit	3732		
				Examiner Name			
eet	5	of	7	Attorney Docket Number	2380.005		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	T 0-1-10-1
Initials*	No.1	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,527,314	06-18-1996	Brumfield, et al.	
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		<sup>US-</sup> 5,531,746	07-02-1996	Errico, et al.	
		<sup>US-</sup> 5,545,163	08-13-1996	Miller, et al.	
- <u></u> -		<sup>US-</sup> 5,545,165	08-13-1996	Biedermann, et al.	
		<sup>US-</sup> 5,549,612	08-27-1996	Yapp, et al.	
		<sup>US-</sup> 5,558,674	09-24-1996	Heggeness, et al.	
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		<sup>US-</sup> 5,601,553	02-11-1997	Trebing, et al.	
		<sup>US-</sup> 5,603,713	02-18-1997	Aust, et al.	
		<sup>US-</sup> 5,607,426	03-04-1997	Ralph, et al.	
		<sup>US-</sup> 5,616,142	04-01-1997	Yuan, et al.	
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		<sup>US-</sup> 5,662,652	09-02-1997	Schafer, et al.	
		<sup>US-</sup> 5,672,175	09-30-1997	Martin	
		<sup>US-</sup> 5,676,666	10-14-1997	Oxland, et al.	

		FORE	IGN PATENT DOCU	MENTS		
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				Application Number	10/776,731		
IN	FORMATION	ON DISC	LOSURE	Filing Date	February 10, 2004		
				First Named Inventor	Baynham, et al.		
31				Art Unit	3732		
(Use as many sheets as necessary)				Examiner Name			
Sheet	6	of	7	Attorney Docket Number	2380 005		

Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
		Number-Kind Code <sup>2 (# known)</sup>			Figures Appear
		<sup>US-</sup> 5,676,703	10-14-1997	Gelbard	
		<sup>US-</sup> 5,735,853	04-07-1998	Olerud	
		<sup>US-</sup> 5,735,899	04-07-1998	Schwartz, et al.	
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		<sup>US-</sup> D402,032	12-01-1998	Stone	
		<sup>US-</sup> 5,849,012	12-15-1998	Abboudi	
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		<sup>US-</sup> 5,876,402	03-02-1999	Errico, et al.	
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		<sup>US-</sup> 6,022,350	02-08-2000	Ganem	-
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		<sup>US-</sup> 6,231,610	05-15-2001	Geisler	
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		<sup>US-</sup> 6,398,783	06-04-2002	Michelson	
		<sup>US-</sup> 6,436,142	08-20-2002	Paes, et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Г
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	I MM-DD-YYYY I	Or Relevant Figures Appear	T⁵	
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		<sup>US-</sup> 6,454,771	09-24-2002	Michelson	
		<sup>US-</sup> 6,669,700	12-30-2003	Farris, et al.	
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FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)								
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